



STROUD DISTRICT COUNCIL

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Monday, 19 April 2021

AUDIT AND STANDARDS COMMITTEE

A remote meeting of the Audit and Standards Committee will be held on **TUESDAY, 27 APRIL 2021** at **7.00 pm**

Kathy O'Leary
Chief Executive

This is a remote meeting in accordance with the Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings) (England and Wales) Regulations 2020.

Venue

This meeting will be conducted using Zoom and a separate invitation with the link to access the meeting will be sent to Members, relevant officers and members of the public who have submitted a question.

Public Access

Members of the public, who have not submitted a question, are invited to access the meeting streamed live via Stroud District Council's [YouTube channel](#).

Recording of Proceedings

A recording of the meeting will be published onto the [Council's website](#). The whole of the meeting will be recorded except where there are confidential or exempt items, which may need to be considered in the absence of press and public.

A G E N D A

1. **APOLOGIES**
To receive apologies of absence.
2. **DECLARATION OF INTERESTS**
To receive declarations of interest.
3. **MINUTES (Pages 5 - 8)**
To approve the minutes of the meeting held on 26 January 2021.

4. **PUBLIC QUESTION TIME**

The Chair of the Committee will answer questions from members of the public submitted in accordance with the Council's procedures.

**DEADLINE FOR RECEIPT OF QUESTIONS
Noon on Thursday, 22 April 2021**

Questions must be submitted to the Chief Executive, Democratic Services,
Ebley Mill, Ebley Wharf, Stroud and can be sent by email to
Democratic.services@stroud.gov.uk

5. **AUDIT AND STANDARDS COMMITTEE ANNUAL REPORT 2020/21 (Pages 9 - 24)**

The Annual Report summarises the activities of the Audit and Standards Committee during 2020/21 and sets out its plans for the next twelve months. This report provides an independent assurance that the Council has in place adequate and effective governance, risk management and internal control frameworks; Internal and External Audit functions; and financial reporting arrangements that can be relied upon and which contribute to the high corporate governance standards that this Council expects and maintains.

6. **INTERNAL AUDIT ACTIVITY PROGRESS REPORT 2020/21 (Pages 25 - 50)**

To inform Members of the Internal Audit activity progress in relation to the approved Revised Internal Audit Plan 2020/21.

7. **DRAFT INTERNAL AUDIT PLAN 2021/22 (Pages 51 - 80)**

To provide the Committee with a summary of the draft Risk Based Internal Audit Plan 2021/22 as required by the Accounts and Audit Regulations 2015 and the Public Sector Internal Audit Standards (PSIAS) 2017.

8. **CREDITORS LIMITED ASSURANCE UPDATE (Pages 81 - 96)**

To receive updates from Senior Officers on the above.

9. **3RD QUARTER TREASURY MANAGEMENT ACTIVITY REPORT 2020/21 (Pages 97 - 106)**

To provide an update on Treasury Management activity as at 31 December 2020.

10. **COUNTER FRAUD UNIT REPORT AND REGULATION OF INVESTIGATORY POWERS ACT (RIPA) 2000 / INVESTIGATORY POWERS ACT (IPA) 2016 REPORT (Pages 107 - 160)**

To provide the Audit and Standards Committee with assurance over the counter fraud activities of the Council in relation to the work undertaken by the Counter Fraud Unit (CFU).

11. **Standing Items**

(a) To consider any Risk Management issues

12. **MEMBER QUESTIONS**

See Agenda Item 4 for deadlines for submission.

Members of Audit and Standards Committee

Councillor Nigel Studdert-Kennedy (Chair)

Councillor Dorcas Binns
Councillor Miranda Clifton
Councillor Stephen Davies
Councillor Colin Fryer

Councillor Tom Williams (Vice-Chair)

Councillor Karen McKeown
Councillor Keith Pearson
Councillor Mark Reeves